

Audit Follow-ups June to August 2013					Recommendations Agreed				Recommendations Implemented				Appendix 1
Department	Audit Review	Main Report Finalised	Follow up Date	Assurance level	R	A	G	Tot	R	A	G	Tot	Exception Comments
Town Clerks	City Bridge Trust - Grant Due Diligence review	Oct-12	Jun-13	Amber	0	6	7	13	0	4	7	11	Some further action is still required on two amber priority recommendations from this audit review, in order for all actions to be considered fully implemented. Whilst improvements have been made in the grant assessment documentation held on file (which demonstrate the extent of grant assessment work), the consistency and clarity of supporting notes on key issues from assessments could still be improved. Additional verification checks have yet to be introduced because the Trust is reviewing its approach to monitoring and evaluation, including monitoring visits, as part of its Quinquennial Review. It is now planned for revised checks to be introduced for the 2013/14 programme of monitoring visits, due to commence from September 2013. Two further amber priority recommendations although not fully implemented, have now been closed as there is considered to be sufficient mitigation of the risk through alternative controls.(further details were report to Audit & Risk Management Committee within Item 28 Update on Fraud Investigations.)
Built Environment	Enterprise Services Contract Management	Feb-13	Aug-13	Green	0	0	3	3	0	0	3	3	
Chamberlains	Council Tax - Hosted	Nov-12	Aug-13	Green	0	0	2	2	0	0	1	1	The outstanding green priority recommendation relates to the development of procedures to ensure that all sensitive papers and file documentation are secured overnight. Audit inspection on the 5th August 2013 identified that a 'clear desk policy' had not been introduced and the Council Tax Manager advised that progress had not been made in this area due to a re-scheduled move to new offices; this move is scheduled for October 2013 and it is anticipated that the issue will be addressed at that time.